Form ISR - 1

(-SEBI circular No. SEBI/HO/MIRSD/MIRSD_RTAMB/P/CIR/2021/655 dated November 03, 2021 on Common and Simplified Norms for processing investor's service request by RTAs and norms for furnishing PAN, KYC details and Nomination)

REQUEST FOR REGISTERING PAN, KYC DETAILS OR CHANGES / UPDATION THEREOF

[For Securities (Shares / Debentures / Bonds, etc.) of listed companies held in physical form]

Mobile Number

E-mail address

[

A. I / We, request you to Register / Change / Update the following (Tick ✓ relevant box)

Kindly Quote the Serial No as printed in your KYC Form

Signature

Registered Address

PAN

Bank details

B. Security and KYC D	Details [to l	oe filled in by the First Holder]					
Name of the Issuer Co	ompany			Folio N	o(s)		
Face value of Securiti	es			Number of Secu	rities		
Distinctive number of	f	From		T	о		
Securities (Optional)							
E-mail Address							
Mobile Number							
C. I/We are submitting documents as per Table below (tick√as relevant, refer to the instructions): Name(s) of the Security holder(s) in Capital as per PAN PAN PAN Linked to							
		ested with date to be enclosed with this Form.		I AN		Aadhaar -Y/N Tick any one [✓] *	
1.						Yes / No	
2.						Yes / No	
3.						Yes / No	
4.						Yes / No	
Note: * PAN shall be valid only if it is linked to Aadhaar by March 31, 2022, or any other date as may be specified by CBDT. To know the status of your PAN Linked to Aadhaar check on this link: https://www.incometax.gov.in/iec/foportal							
Bank Account Details of First Holder							
Name of the Bank & Branch				IFSC			
Bank A/c No.			Tick any one [√]- Acc NRO NRE	t type Any other	Savings Current		
Note: Original cancelled cheque leaf bearing the name of the first holder is mandatory, failing which first security holder shall submit copy of bank passbook / statement attested by the Bank for registering the Bank Account details.							
Demat Account Number		16 digit DP/CL []					
Also provide Client Master List (CML) of your Demat Account, provided by the Depository Participant.						-	
Authorization: I / We authorise you (RTA) to update the above PAN and KYC details in my / our above folio(s) (use Separate Annexure if extra space is required) in which I / we are the holder(s). [strike off what is not applicable] Declaration: All the above facts and documents enclosed are true and correct.							
First Holder		Joint Holder - 1	J	Joint Holder - 2		Joint Holder - 3	
Signature							
Name							
Address							
Adc							

Note: If the address mentioned above differs from the address registered with the Company, you are requested to record the new address by submitting the documents as specified in point (3) overleaf.

I/We are submitting documents as per Table below (tick ✓ as relevant, refer to the instructions):

No.	✓	Document/Information/Details	Instruction/Remark	
		Document, mornianon, Details		
1		PAN of (all) the (joint) holder(s)	PAN copies of all the holder(s) duly self-attested with date to be enclosed. PAN shall be valid only if it is linked to Aadhaar by March 31, 2022, or any date as may be specified by the CBDT. For Exemptions / Clarifications on PAN, please refer to Objection Memo as specified in SEBI circular.	
2		Demat Account Number	Provide Client Master List (CML) of your Demat Account, provided by the Depository Participant.	
3		Proof of Address of the first Holder	Provide self attested copy of any ONE of the documents, issued by a Govt. Authority, only if there is change in the address; Client Master List (CML) of your Demat Account, provided by the Depository Participant. Valid Passport/ Registered Lease or Sale Agreement of Residence/ Driving License/Flat Maintenance Bill* Utility bills like Telephone Bill (only land line), Electricity bill or Gas bill - Not more than 3 months old. Identity card (with Photo) / document with address, issued by Central/State Government and its Departments, Statutory / Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions. For FII / sub account, Power of Attorney given by FII / subaccount to the Custodians (which are duly notarized and / or apostilled or consularised) that gives the registered address should be taken. The proof of address in the name of the spouse* * Kindly provide additional self-attested copy of Identity Proof of the holder/claimant.	
4		Bank details	Provide the latest copy of the bank statement with details of bank name, branch, account number and IFSC or Original cancelled cheque leaf bearing the name of first holder. Alternatively, Bank details available in the CML as enclosed will be updated in the folio.	
5		E-mail address	As mentioned on Form ISR-1, alternatively the E-mail address available in the CML as enclosed will be updated in the folio.	
6		Mobile	As mentioned on Form ISR-1, alternatively the mobile number available in the CML as enclosed will be updated in the folio.	
7		Specimen Signature	Provide banker's attestation of the signature of the holder(s) as per Form ISR – 2 and Original cancelled cheque leaf bearing the name of the first holder.	
		Submit Form(s) as per any ONE of the following options.		
			SH-13 For First Time Nomination	
8		Nomination	SH-14 For Cancellation or Variation in Nomination	
			SH-14 and ISR-3 For Cancellation of Nomination and to "Opt-Out"	
			ISR-3 To "OPT-Out" of Nomination or if No-Nomination is required	

Note: All the above forms are also available on our website..